

# Document Analysis with Deep Learning

*Use Case Talk Series, Zurich, Oct 31, 2018*

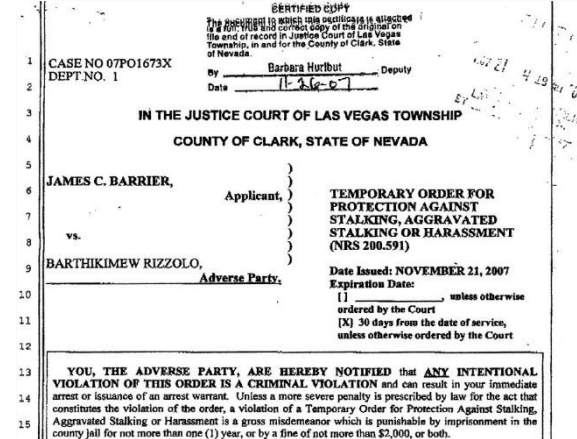
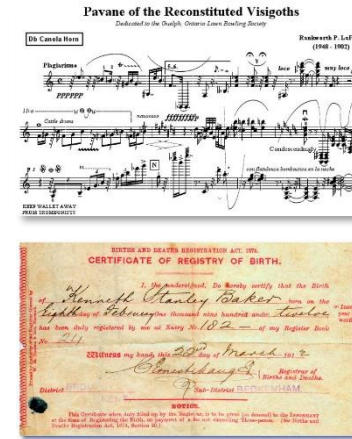
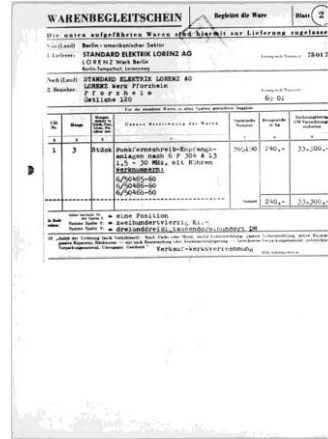
Thilo Stadelmann



data lab

[www.zhaw.ch/data lab](http://www.zhaw.ch/data lab)

# Document recognition?



## Documents

- **Ubiquitous** in human communication and every scenario involving an office
- Somewhat structured for human expert; **unstructured** w.r.t machines
- **Great use case** for various **AI** techniques, including computer vision techniques

## Own scientific community

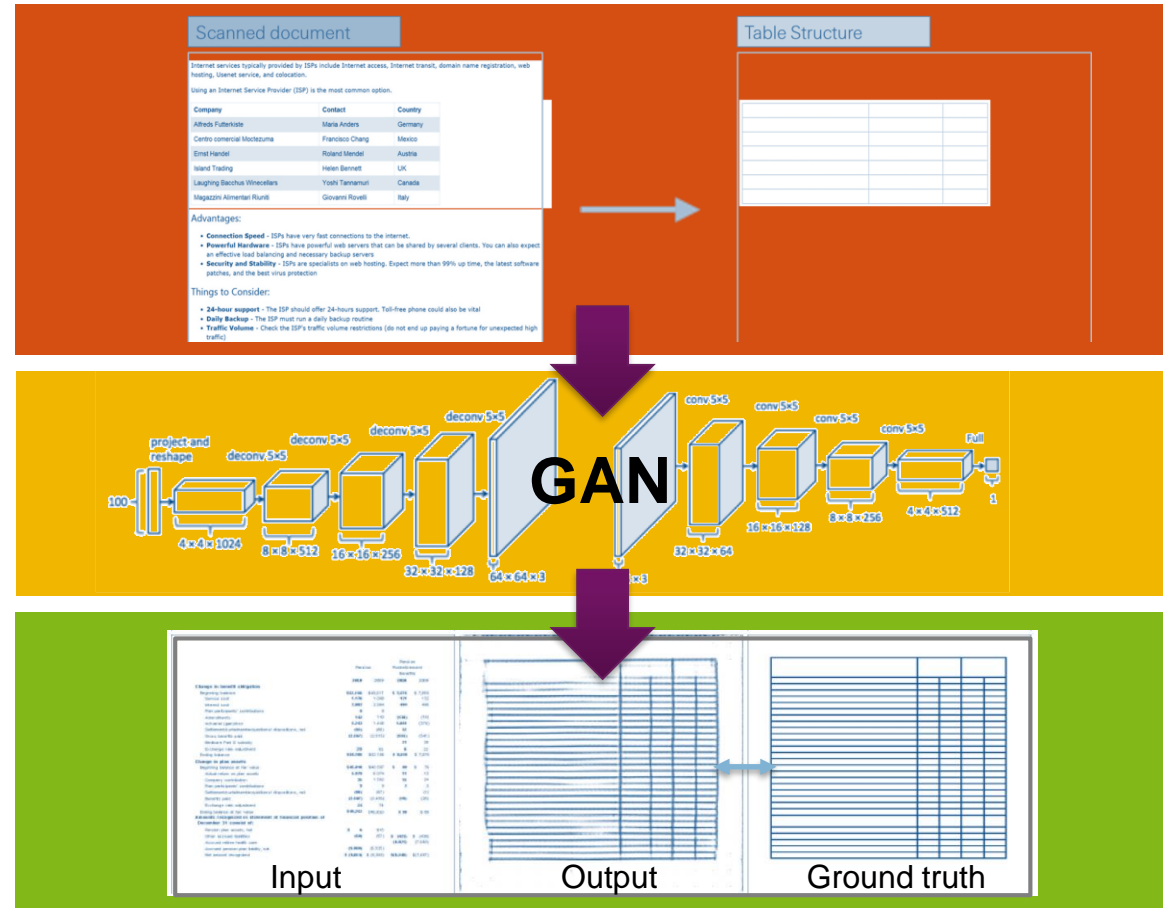
- IAPR's biannual Intl. Conference on Document Analysis & Recognition (ICDAR): character & symbol recognition, printed/handwritten text recognition, graphics analysis & recognition, document analysis & understanding, historical documents & digital libraries, document based forensics, camera & video based scene text analysis

# Industrial examples

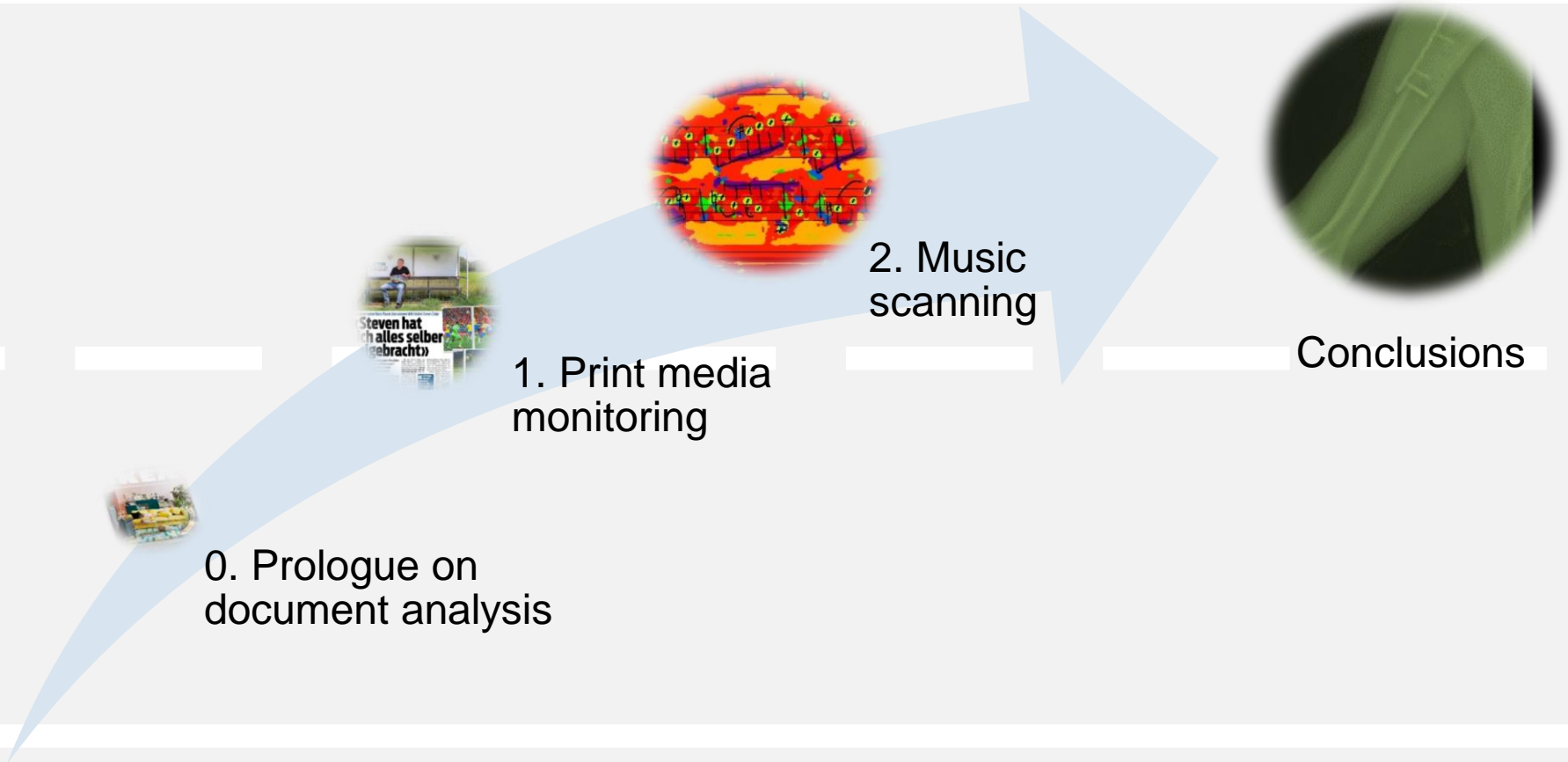
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# Roadmap





# 1. Print media monitoring

## Task

**International** | Nachrichten | Spionage für den Erzfeind Iran  
Iranischer Ex-Minister arbeitete als Agent für die Milärs. Jetzt droht ihm lebenslanglich.

**Fürchten Kampf**  
Friedensgespräche scheitern.

**Wahlkampf**  
Vor dem Parlament

**Wirtschaft**  
Zentralbank

**Asylbewerber können bleiben**  
Europäischer Gerichtshof relativiert Abbruchzusage

**Klage von Le Pen abgelehnt**  
Europäische Kommission

**Nordkoreanischer Diktator zu Besuch in Peking**  
Mit 62 Jahren

**Vermögen beschlagnahmt**  
Für die Ex-Finanzminister

**Transfer Ticker**  
Liverpool will Yann Sommer

## Challenge

**Sport | Blick** | 15  
Sein Jungerkammerer Mario Pavoni über unseren WM-Helden Steven Stöver

### «Steven hat sich alles selber beibracht»

Hinter dem Tabak-Gelächter gegen Brasilien steckt auch Mario Pavoni. Mario was? Der Rückfaller trainiert weiter beim FC Koblarnen-Blau-Keine-Stevers

**Transfer Ticker**  
Liverpool will Yann Sommer

## Nuisance

**Blick** | 25  
Liebling der Steine  
Löwe 231-23R

**Das Tages-Horoskop**  
Liebling der Steine

**SWISS LOTTO**  
15,1 Millionen  
Sind Sie der nächste Lotto-König?

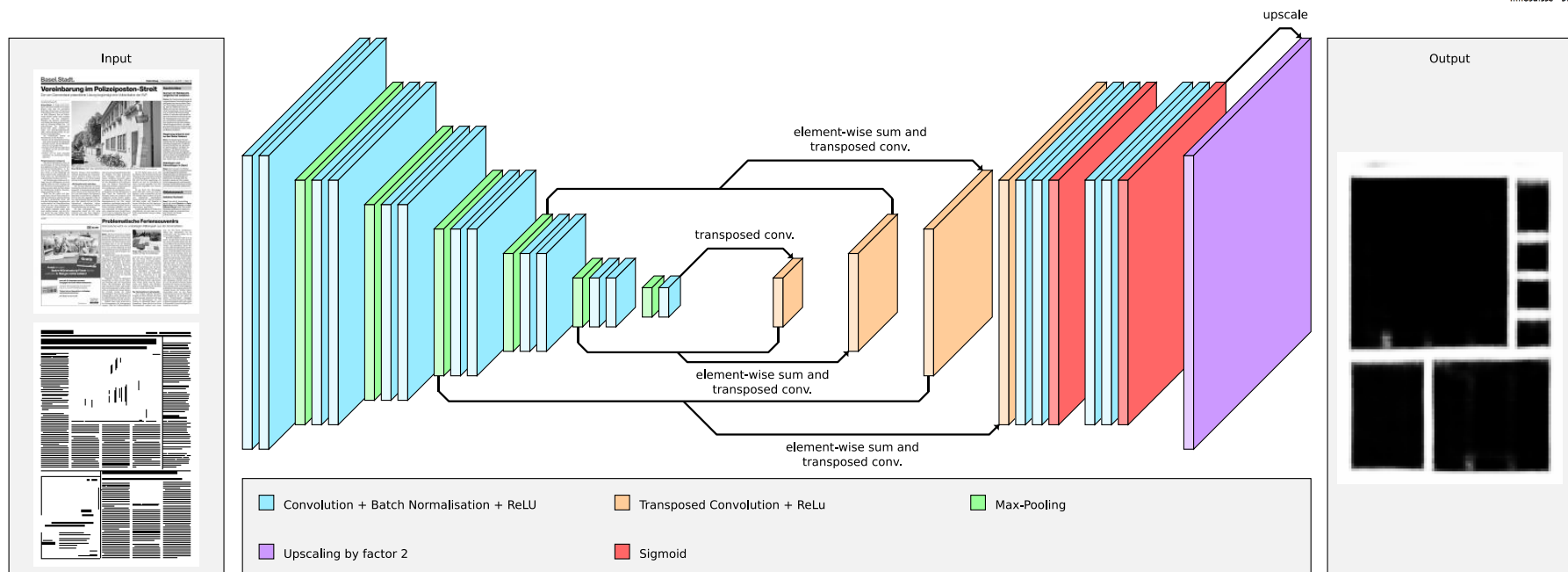
**Wochenpreis: 1x sieben Nächte für 2 Personen, inkl. HP, im \*\*\*\*Seehotel Pilatus Hergiswil im Wert von 3000 Franken!**

**Wochenpress**  
Lose mit Gewinn

**Wochenspiele**  
Lose mit Gewinn



# 1. Print media monitoring – ML solution



Meier, Stadelmann, Stampfli, Arnold & Cieliebak (2017). «Fully Convolutional Neural Networks for Newspaper Article Segmentation». ICDAR'2017.  
 Stadelmann, Tolkachev, Sick, Stampfli & Dürri (2018). «Beyond ImageNet - Deep Learning in Industrial Practice». In: Braschler et al., «Applied Data Science», Springer.

## 2. Music scanning

**Die Forelle.**  
Op. 52 No. 15. Schöten.  
Für eine Singstimme mit Begleitung des Pianoforte.  
Schubert's Werk. Franz Schubert.  
Erste Fassung. N° 217

Melod.:  
Singsstimme:  
Pianoforte:

Ich bin eine Bächlein bei der Laubstreu in froher Zeit das  
Fi wach mit der Be- der wach an dem U. An stand und  
Mutter mich hat ihm die- le wie ich den Fischkin wach.  
So  
denn ich den Ort ma- de sind sich in der Bäck- den  
Jung den Woh- der Tit- le sind sich der get- wach.  
den- tern Bächlein Ba- de son als zum Bäcklein an  
Jung- en die Pi- an- den  
ei - nem Bäch-lein hel - le, da schoß in fro-her Eil die lau - ni - sche Fo - re - le vor -



```

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Zürcher Hochschule  
für Angewandte Wissenschaften



Schweizerische Eidgenossenschaft  
Confédération suisse  
Confederazione Svizzera  
Confederaziun svizra  
Swiss Confederation  
Innosuisse – Swiss Innovation Agency



Die Forelle - Franz Schubert

♩ = 80

Voice

Piano

Vc.

ei - nem Bäch-lein hel - le, da schoß in fro-her Eil die lau - ni - sche Fo - re - le vor -

## 2. Music scanning – challenges & solutions



Schweizerische Eidgenossenschaft  
Confédération suisse  
Confederazione Svizzera  
Confederaziun svizra  
Swiss Confederation  
Innosuisse – Swiss Innovation Agency

Tuggener, Elezi, Schmidhuber, Pelillo & Stadelmann (2018). «DeepScores – A Dataset for Segmentation, Detection and Classification of Tiny Objects». ICPR'2018.



## 2. Music scanning – challenges & solutions



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## 2. Music scanning – challenges & solutions

(a) accidentalSharp (b) keySharp

(c) augmentationDot (d) articStaccatoAbove

Tuggener, Elezi, Schmidhuber, Pelillo & Stadelmann (2018). «DeepScores – A Dataset for Segmentation, Detection and Classification of Tiny Objects». ICPR'2018.

# 2. Music scanning – challenges & solutions

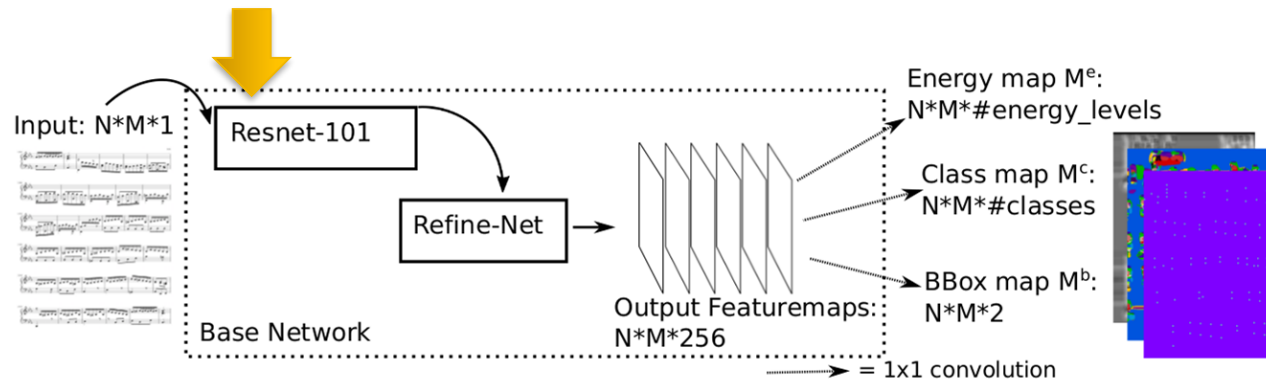
(a) accidentalSharp (b) keySharp

(c) augmentationDot (d) articStaccatoAbove



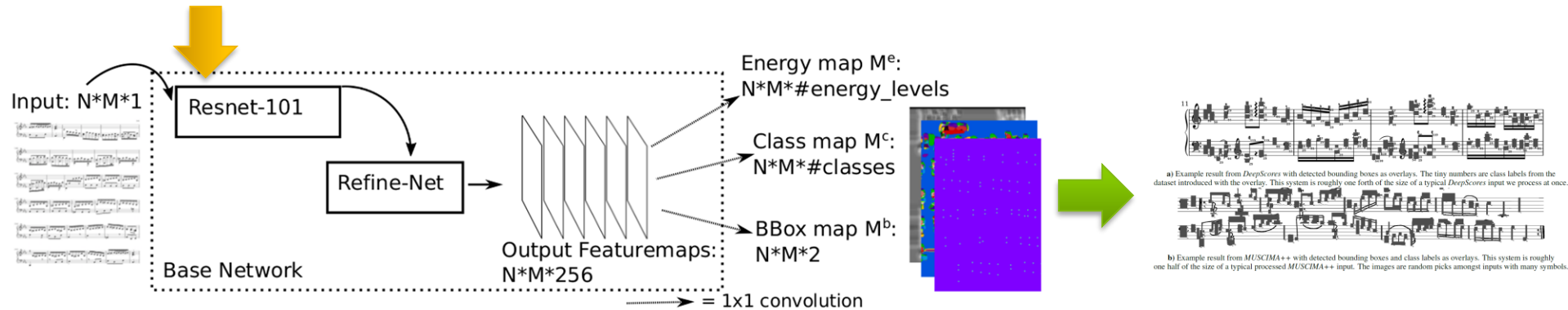
Tuggener, Elezi, Schmidhuber, Pelillo & Stadelmann (2018). «DeepScores – A Dataset for Segmentation, Detection and Classification of Tiny Objects». ICPR'2018.

# 2. Music scanning – challenges & solutions



Tuggener, Elezi, Schmidhuber, Pelillo & Stadelmann (2018). «DeepScores – A Dataset for Segmentation, Detection and Classification of Tiny Objects». ICPR'2018.  
 Tuggener, Elezi, Schmidhuber & Stadelmann (2018). «Deep Watershed Detector for Music Object Recognition». ISMIR'2018.

# 2. Music scanning – challenges & solutions

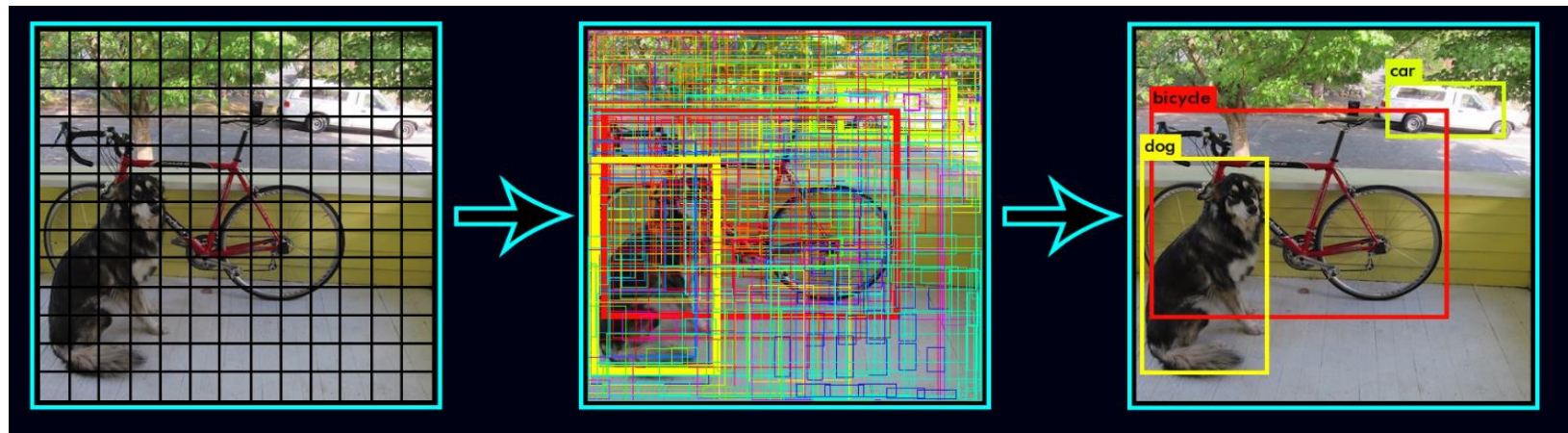


Tuggener, Elezi, Schmidhuber, Pelillo & Stadelmann (2018). «DeepScores – A Dataset for Segmentation, Detection and Classification of Tiny Objects». ICPR'2018.  
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## 2. OMR deep dive

### OMR vs state of the art object detectors

#### YOLO/SSD-type detectors



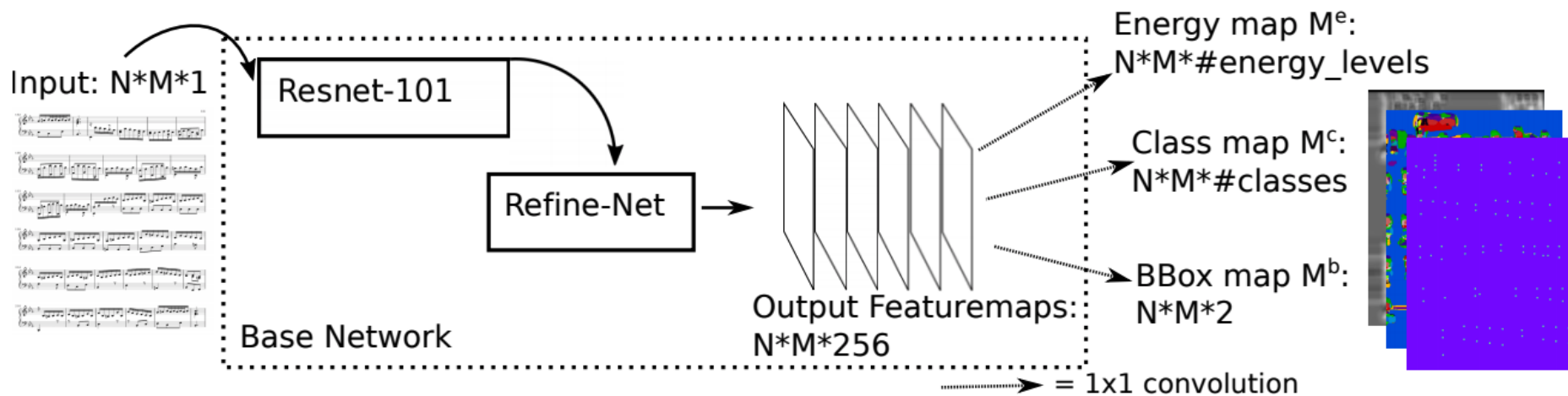
Source: <https://pjreddie.com/darknet/yolov2/> (11.09.2018)

#### R-CNN

- Two-step proposal and refinement scheme
- Very large amount of proposals at high resolution needed

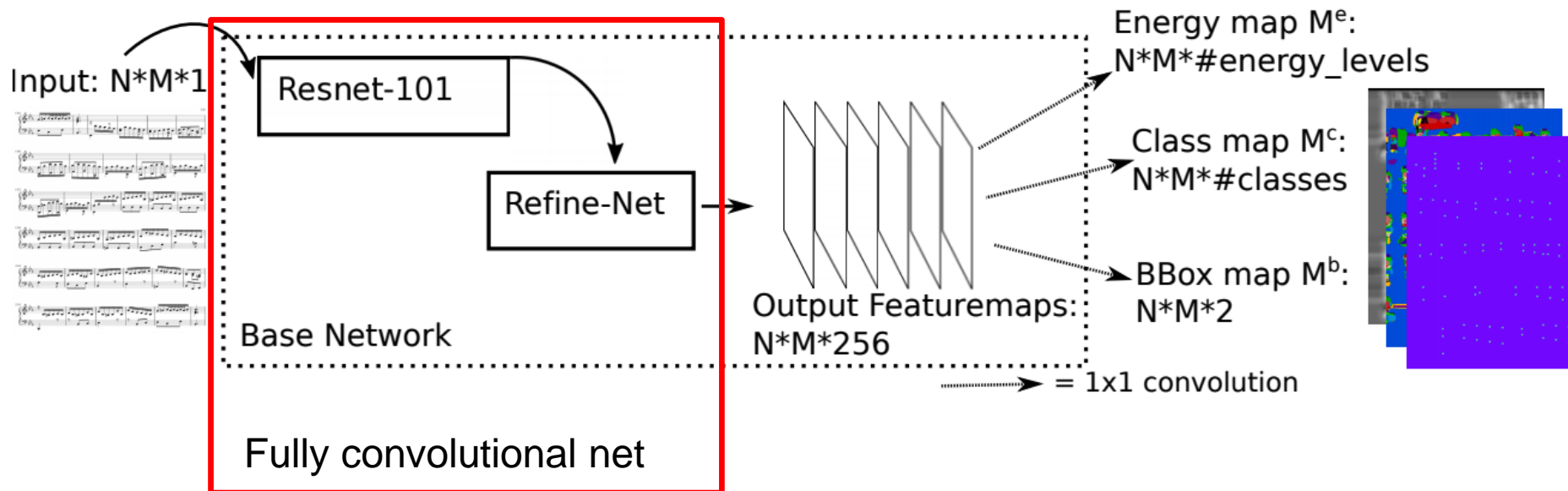
# 2. OMR deep dive (contd.)

## The deep watershed detector



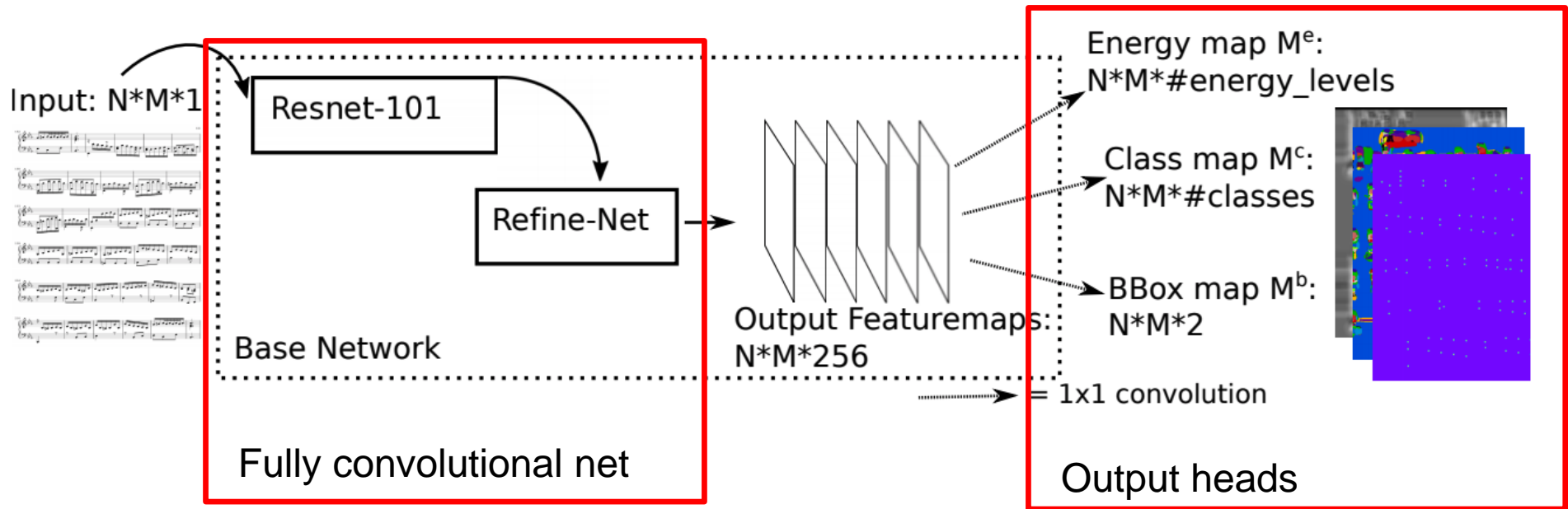
# 2. OMR deep dive (contd.)

## The deep watershed detector



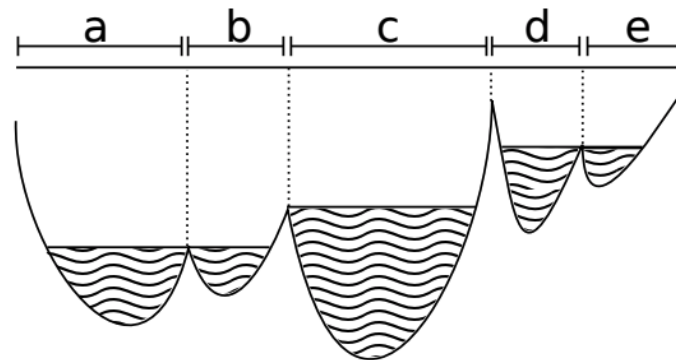


## 2. OMR deep dive (contd.) The deep watershed detector



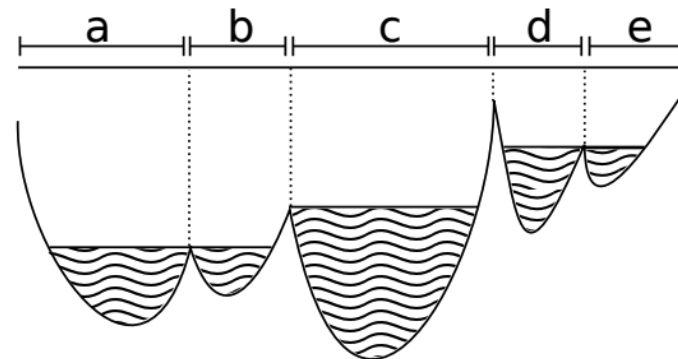
## 2. OMR deep dive (contd.)

### The (deep) watershed transform

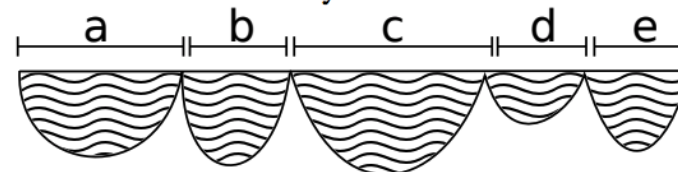


## 2. OMR deep dive (contd.)

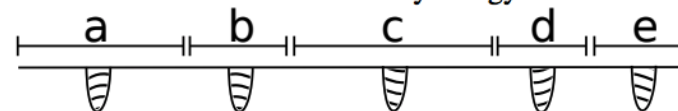
### The (deep) watershed transform



a) One-dimensional energy function of five classes without any structural constraints.



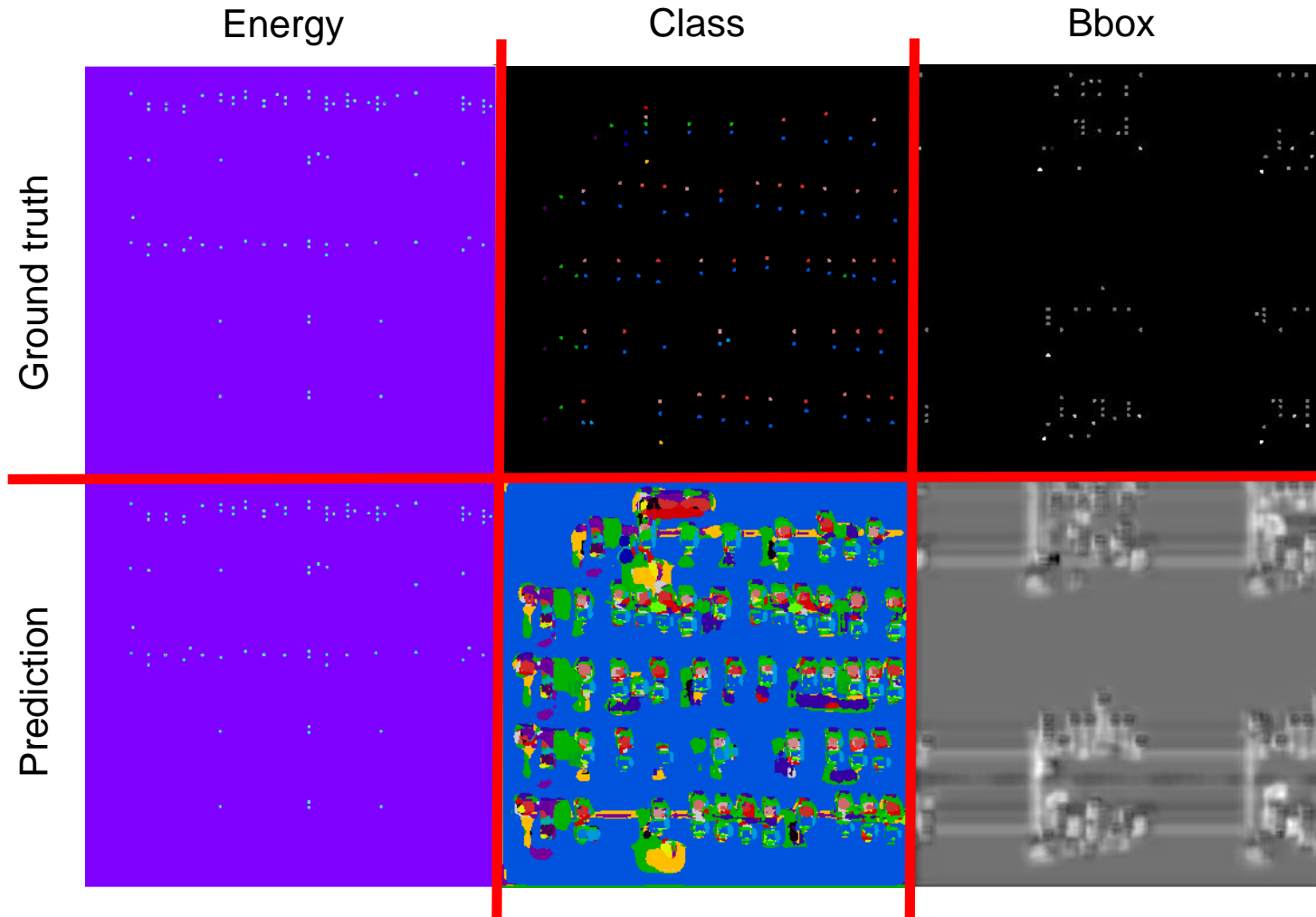
b) Energy function for the same five classes with fixed boundary energy.



c) Energy function for the same five classes this time with small energy markers at the class centers.

## 2. OMR deep dive (contd.)

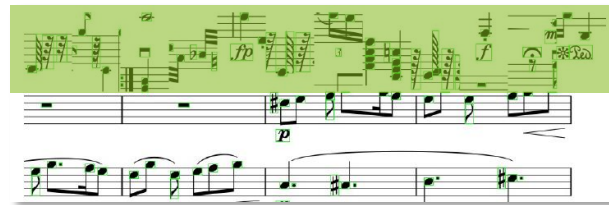
### Output heads of the deep watershed detector



## 2. Music scanning – industrialization (Work in progress)

Recent results on **class imbalance** and **robustness** challenges

1. Added sophisticated **data augmentation** in every page's margins



2. Put additional effort (and compute) into hyperparameter **tuning** and **longer training**
3. Trained also on scanned (more **real-worldish**) scores



→ **Improved** our **mAP** from 16% (on purely synthetic data) **to 73%** on more challenging real-world data set (additionally, using Pacha et al.'s evaluation method as a 2<sup>nd</sup> benchmark: from 24.8% to 47.5%)

Elezi, Tuggener, Pelillo & Stadelmann (2018). «DeepScores and Deep Watershed Detection: current state and open issues». WoRMS @ ISMIR'2018.

Pacha, Hajic, Calvo-Zaragoza (2018). «A Baseline for General Music Object Detection with Deep Learning». Appl. Sci. 2018, 8, 1488, MDPI.

# Conclusions

- Document analysis is a **very fruitful use case** for Deep Learning (for business + R&D)
- **Latest research** is **applied** and deployed in «normal» businesses (non-AI, SME)
- It does not need big-, but some **data (effort usually underestimated)**
- DL/RL **training** for new use cases **can be tricky** (→ needs thorough experimentation)



On me:

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- +41 58 934 72 08
- <https://stdm.github.io/>



On the topics:

- Document analysis: <https://stdm.github.io/research/#recent>
- Data+Service Alliance: [www.data-service-alliance.ch](http://www.data-service-alliance.ch)
- Collaboration: [datalab@zhaw.ch](mailto:datalab@zhaw.ch)

→ Happy to answer questions & requests.



# APPENDIX

# ML @ Information Engineering Group

Institute of Applied Information Technology, ZHAW School of Engineering

## Machine learning-based Pattern Recognition



Robust Deep Learning

Voice Recognition

Document Analysis

Learning to Learn & Control

