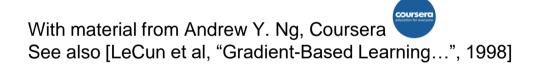
## Machine Learning V07: ML System Design



## System development: What to give priority? Example: Learning to read checks end-to-end





### **Educational objectives**

- Remember error- and ceiling analysis as well as the initial 24h hack as tools to be successful in ML
- Know how to design and prioritize complete machine learning system pipelines
- Appreciate the elegance of the design that enables end-to-end learning for the check reading application of LeCun et al.







### 1. SYSTEM DEVELOPMENT: WHAT TO GIVE PRIORITY?



Source: http://www.todayifoundout.com/index.php/2010/09/how-the-word-spam-came-to-mean-junk-message/

#### Zurich University of Applied Sciences and Arts InIT Institute of Applied Information Technology (stdm)

# From: cheapsales@buystufffromme.com To: stdm@zhaw.ch Subject: Buy now!

Example 1: Building a spam classifier

Deal of the week! Buy now! Rolex w4tchs - \$100 Medlcine (any kind) - \$50 Also low cost M0rgages available.

Supervised learning task

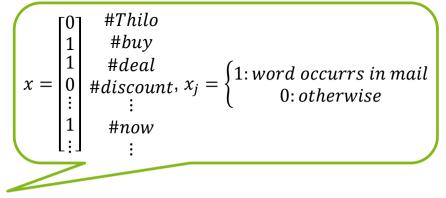
• x: features of email  $\rightarrow$  y: 1 (spam) or 0 (non-spam)

**Practical features** 

• List of 50'000 most frequent words in training set

```
From: Renate Stadelmann
To: stdm@zhaw.ch
Subject: Holiday plans
```

```
Hi Thilo,
was talking to Philipp about
plans for New Year. Sauna and
surfing in winter? ;-)
Love, Renate
```





4

Zurich University of Applied Sciences

### Example 1: Building a spam classifier (contd.) How to prioritize *algorithmic* work?

How to best invest the time to make it work (i.e., have low error)?

- Collect lots of data (e.g., "honeypot" project)?
- **Develop** sophisticated **features**?
  - ...based on email routing information from email header
  - ...for message **body** 
    - → Treat "discount" and "discounts" as same word? "Deal" and "Dealer"?
    - → Features about punctuation?
- **Develop** sophisticated **algorithm** to detect misspellings?
  - → e.g. "m0rtgage", "med1cine", "w4tches"

#### Advice

- Take **24h** to implement (rather: **hack**) a **complete system** including scoring
- Use diagnostics to decide where to improve
- In deep learning, follow Andrej Karpathy's
  - recipe to stay sane (see appendix)

#### Recommendation

- Start with a simple algorithm that can be implemented quickly
   Implement it and test it on cross-validation data
- 2. Plot learning curves to diagnose if more data, more features, etc. are likely to help
- 3. Error analysis: Manually examine the CV examples that were misclassified
  - → Is there a systematic trend in what type of examples are misclassified?



### Example 1: Building a spam classifier (contd.) Error analysis

Assume the following experimental outcome

- $N_{CV} = 500$  emails in CV set
- 100 emails are misclassified



Zurich University of Applied Sciences

# Example 1: Building a spam classifier (contd.)

Assume the following experimental outcome

- $N_{CV} = 500$  emails in CV set
- 100 emails are misclassified
- → Manually examine the 100 errors

### Categorization e.g. based on

- 1. Type of email
- 2. Cues (feature candidates) that would have helped the algorithm to classify correctly

Туре	Number
Pharma	12
Replica / faked goods	4
Phishing	53
Other	31

Cues	Number	quite rare
Deliberate misspellings ("m0rgage", "med1cine", etc.)	5 -	quite fare
Unusual email routing	16	
Unusual punctuation ("!!!!!!!" etc.)	32	this might help



### Example 1: Building a spam classifier (contd.) The importance of numerical evaluation (error analysis 2)

Should a stemmer be used (e.g., free "Porter stemmer")?

- Treats "discount" / "discounts" / "discounted" / "discounting" as the same word
- Makes e.g. "universe" / "university" indistinguishable
- → Error analysis doesn't help much in deciding

#### Solution

- **Try** with & without
- Compare numerical results → need a single performance metric for that (e.g. CV error; F-score)

Method	CV error	
Original: without stemming	5%	good idea!
With stemming	3%	
Additional: distinguishing upper vs. lower case	3.2%	doesn't help

- Attention: If classes are **skewed** (e.g., cancer prediction), **regard** recall-precision **trade-off** 
  - → Use for example the F-measure instead of pure error ( $\rightarrow$  compare V03)
  - → Give the rare class the label 1 (or: true)



Zurich University

### Exercise: Engineering a ML system Reading & discussion task



Successfully building a working ML system holds a lot of engineering challenges that are distinct from e.g. engineering good software; it therefore warrants a different development process, guided by best practices. Some of them are collected for example by A. Karpathy\* (specifically on deep learning; see also appendix), T. Stadelmann\*\* (general ML research methodology) and M. Rahtz\*\*\* (specifically on deep reinforcement learning).

Pick one of the articles according to your interest and experience, and read it to get a *quick* overview.

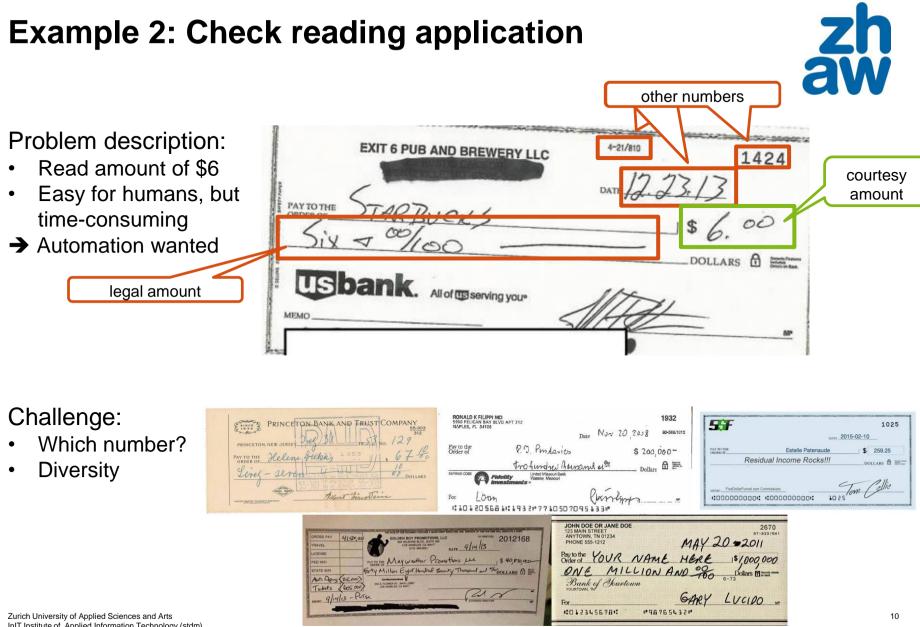
Then discuss at your table:

- Which advice is most surprising to you? Why?
- Which advice can you confirm from own experience? Tell the story.
- Where would you disagree? Why?

\*) Online: <u>http://karpathy.github.io/2019/04/25/recipe/</u> \*\*) Online: <u>https://stdm.github.io/Great-methodology-delivers-great-theses/</u>

\*\*\*) Online: http://amid.fish/reproducing-deep-rl

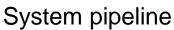




### Example 2: Check reading application (contd.) What part of the *pipeline* to improve next?

#### Challenge

- Identify correct character string (e.g., «342») on a piece of paper
- Therefore:
  - 1. Detect all handwritten strings
  - 2. [ Identify correct string (containing the amount) ]
  - 3. Find correct segmentation
  - 4. Recognize individual characters



- What part of the pipeline should you spend the most time trying to improve?
- Note: Identification of the correct string is omitted here (could be placed at the end)



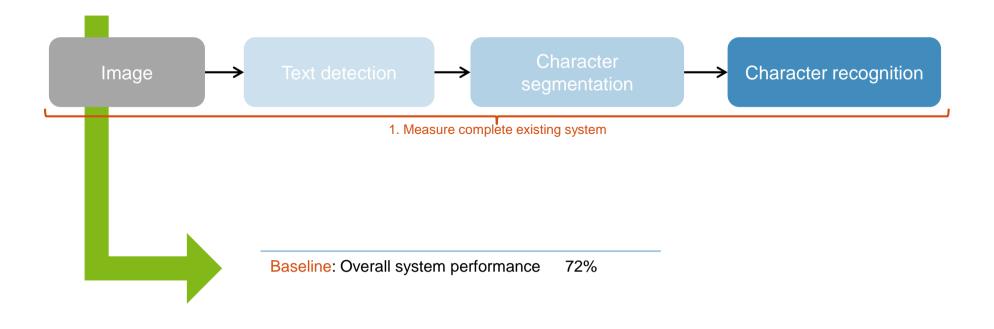




Zurich University

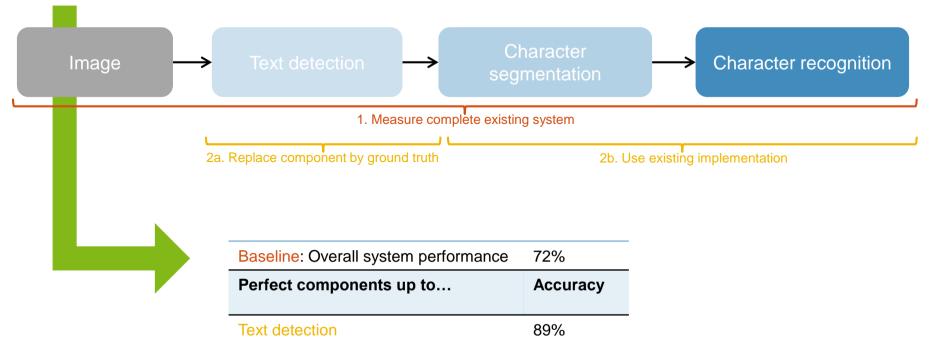
#### Ceiling analysis

1. Baseline → measure the (CV) performance of the complete pipeline



#### Ceiling analysis

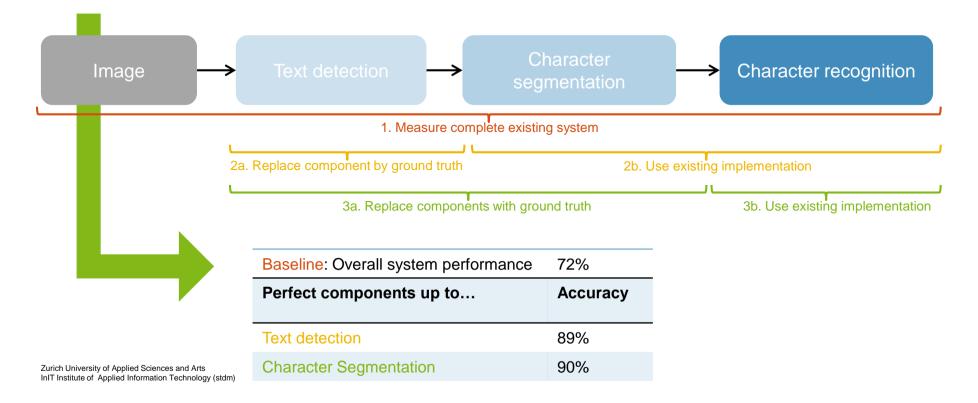
- 1. Baseline  $\rightarrow$  measure the (CV) performance of the complete pipeline
- 2. Replace first component with ground truth (perfect results) → measure performance



Zurich University of Applied Sciences and Arts InIT Institute of Applied Information Technology (stdm)

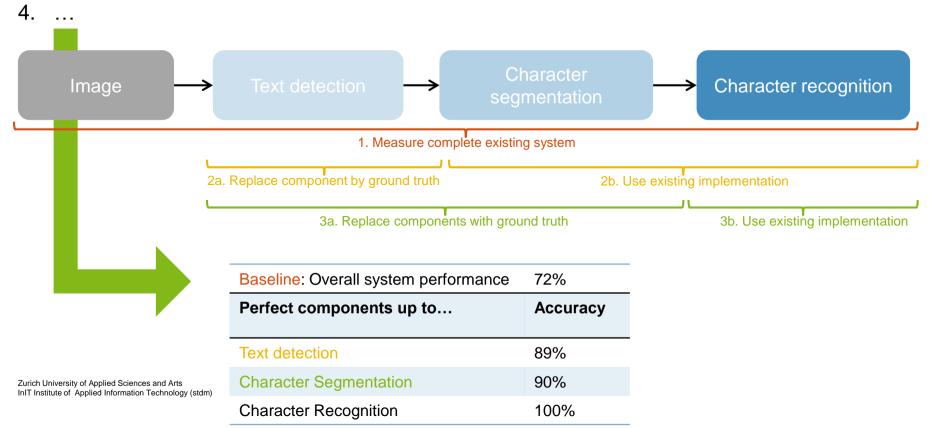
#### Ceiling analysis

- 1. Baseline  $\rightarrow$  measure the (CV) performance of the complete pipeline
- 2. Replace first component with ground truth (perfect results) → measure performance
- 3. Replace next component with ground truth → measure performance



#### Ceiling analysis

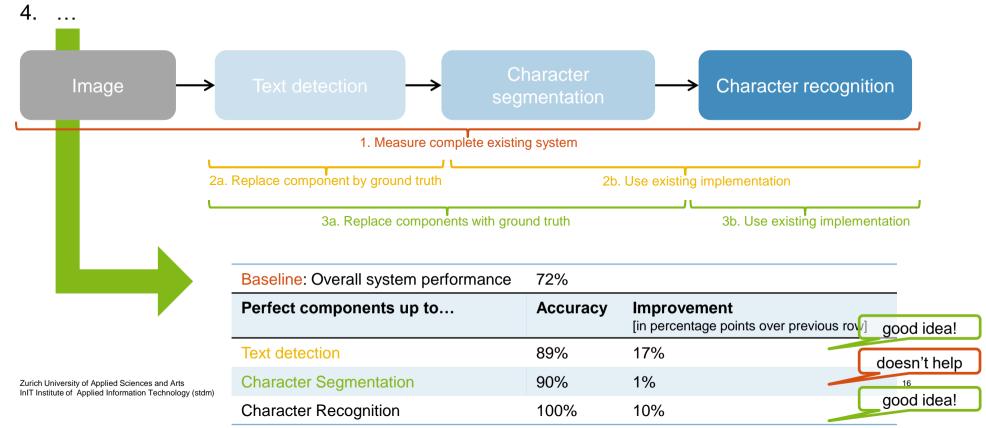
- 1. Baseline  $\rightarrow$  measure the (CV) performance of the complete pipeline
- 2. Replace first component with ground truth (perfect results) → measure performance
- 3. Replace next component with ground truth  $\rightarrow$  measure performance



Zurich University

#### Ceiling analysis

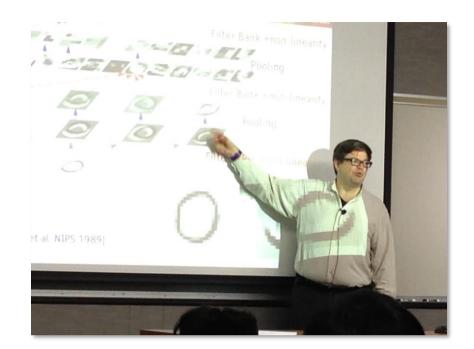
- 1. Baseline → measure the (CV) performance of the complete pipeline
- 2. Replace first component with ground truth (perfect results) → measure performance
- 3. Replace next component with ground truth  $\rightarrow$  measure performance







#### 2. SYSTEM EXAMPLE: LEARNING TO READ CHECKS END-TO-END



#### Source: https://en.wikipedia.org/wiki/Yann\_LeCun#/media/File:Yann\_LeCun\_at\_the\_University\_of\_Minnesota.jpg

## A landmark work in Machine Learning

LeCun et al., "Gradient-Based Learning Applied to Document Recognition", 1998

PROC. OF THE IEEE, NOVEMBER 1998

#### Gradient-Based Learning Applied to Document Recognition -> LeNet 5

Yann LeCun, Léon Bottou, Yoshua Bengio, and Patrick Haffner

#### Abstract-

Multilayer Neural Networks trained with the backpropargation agarithm constitute the back camped ratio asseemed Gradient-Based Learning technique. Given an appropriate can be need to synthesize a complex desiden surface that can be need to synthesize a complex desiden surface that can it can be need to synthesize a complex desiden surface that can be need to synthesize a complex desiden surface that can be need to synthesize a complex desiden surface that can be need to synthesize a complex desiden surface that can be need to synthesize a complex desiden surface that the surface of the synthesize a complex desiden surface trans, with mining approcessing. This paper reviews was and compare the on a standard handwritten district for the recepandly designed to deal with the wariability of 120 shapes, retear to the synthesize of the synthesynthesize of the synthesynthesize of the synthesize of the

ically designed to deal with the variability of 2D shapes, are shown to outperform all other techniques. Read-life document recognition systems are composed of multiple modules including field sortariation, segmentanerariggen, called Graph Transformer Networks (GTN), allows such multi-module systems to be training datally using Gradient-Based methods so as to minimize an overall performance measure.

Two systems for on-line handwriting recognition are described. Experiments demonstrate the advantage of global training, and the flexibility of Graph Transformer Networks.

A Graph Transformer Notwork for exaiing bank check is also described. It uses Convolutional Neural Network character recognizers combined with global training techniques to provider secord accuracy on business and personal checks. It is deployed commercially and reads several million checks per day.

Keywords— Neural Networks, OCR, Document Recognition, Machine Learning, Gradient-Based Learning, Convolutional Neural Networks, Graph Transformer Networks, Finite State Transducers.

#### Nomenclature

- GT Graph transformer.
- GTN Graph transformer network.
   HMM Hidden Markov model
- HMM Hidden Markov model.
   HOS Heuristic oversegmentation
- K-NN K-nearest neighbor.
- NN Neural network
- OCR Optical character recognition.
- · PCA Principal component analysis.
- RBF Radial basis function.
- RS-SVM Reduced-set support vector method.
   SDNN Space displacement neural network
- SIMN Space displacement neural network.
   SVM Support vector method.
- TDNN Time delay neural network.
- V-SVM Virtual support vector method.

The authors are with the Speech and Image Processing Services Research Laboratory, AT&T Labs-Research, 100 Schulz Drive Red Bank, NJ 07701. E-mail: §ann.boob.yoothua,haffner) fitresearch att.com. Yoshua Benglo is also with the Dipartement d'Informatique et de Rechercle Optentionelle, Université de Montréal, C.F. 0128 Succ. Centre-Ville, 2202 Chemin de Tour, Mortéal, Quebec, Canada BRC 317.

#### I. INTRODUCTION Over the last several years, machine learning techniques, particularly when applied to neural networks, have played an increasingly important role in the design of pattern recognition systems. In fact, it could be argued that the availability of learning techniques has been a crucial fac-

recognition systems. In fact, it could be argued that the availability of learning techniques has been a crucial factor in the recent success of pattern recognition applications such as continuous speech recognition and handwriting recognition.

receptition systems can be built by relying more on more mathe benning, and less on hand-designed bennings. This is made possible by recent progress in machine learning and computer technology. Using character recognition as a case study, we show that hand-carfield feature extraction can be advantageously replaced by carefully designed learning machines that operate directly on pixel images. Using document understanding as a case study, we show that the traditional way of building recognition systems by manually integrating individually designed modules can be replaced by a unified and well-principid design paradigm, called *Graph Transformer Networks*, that allows training all the modules to optimize a global performance criterion.

Since the early days of pattern recognition it has been known that the variability and richness of natural data, be it speech, glyphs, or other types of patterns, make it almost impossible to build an accurate recognition system entirely by hand. Consequently, most pattern recognition systems are built using a combination of automatic learning techniques and hand-crafted algorithms. The usual method of recognizing individual patterns consists in dividing the system into two main modules shown in figure 1. The first module, called the feature extractor, transforms the input patterns so that they can be represented by lowdimensional vectors or short strings of symbols that (a) can be easily matched or compared, and (b) are relatively invariant with respect to transformations and distortions of the input patterns that do not change their nature. The feature extractor contains most of the prior knowledge and is rather specific to the task. It is also the focus of most of the design effort, because it is often entirely hand-crafted. The classifier, on the other hand, is often general-purpose and trainable. One of the main problems with this approach is that the recognition accuracy is largely determined by the ability of the designer to come up with an appropriate set of features. This turns out to be a daunting task which, unfortunately, must be redone for each new problem. A large amount of the pattern recognition literature is devoted to describing and comparing the relative

#### Outline

- Gradient-Based ML ✓
- Convolutional Neural Nets ✓ (→ DL module)
- Comparison with other Methods
- Multi-Module Systems & Graph Transformer Networks (GTNs)
- Multiple Object Recognition & Heuristic Oversegmentation
- Space Displacement Neural Networks
- GTN's as General Transducers
- On-Line Handwriting Recognition System
- Check Reading System
- → GTNs have not been adopted widely, but pioneered end-to-end deep learning
- Here explained in some completeness as an historical example



## Standard and sequential supervised learning



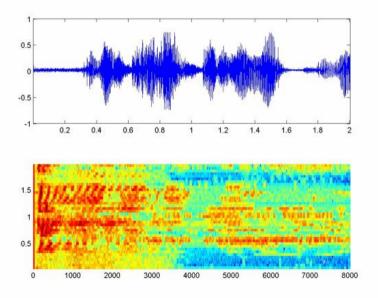
#### **Supervised Learning**

$\bigcirc \bigcirc \bigcirc \bigcirc$		Incanter Dataset			
Sepal.Length	Sepal.Width	Petal.Length	Petal.Width	Species	
5.1	3.5	1.4	0.2	setosa	
4.9	3.0	1.4	0.2	setosa	
4.7	3.2	1.3	0.2	setosa	
4.6	3.1	1.5	0.2	setosa	
5.0	3.6	1.4	0.2	setosa	
5.4	3.9	1.7	0.4	setosa	
4.6	3.4	1.4	0.3	setosa	
5.0	3.4	1.5	0.2	setosa	
4.4	2.9	1.4	0.2	setosa	
4.9	3.1	1.5	0.1	setosa	
5.4	3.7	1.5	0.2	setosa	
4.8	3.4	1.6	0.2	setosa	
4.8	3.0	1.4	0.1	setosa	
4.3	3.0	1.1	0.1	setosa	
5.8	4.0	1.2	0.2	setosa	
5.7	4.4	1.5	0.4	setosa	
5.4	3.9	1.3	0.4	setosa	
5.1	3.5	1.4	0.3	setosa	
5.7	3.8	1.7	0.3	setosa	
5.1	3.8	1.5	0.3	setosa	
	3.4	1.7	0.2	setosa	
5.4		1.5	0.4	setosa	

Typical assumptions on data:

- i.i.d.
- Surrounding tasks deemed simple(r)

### **Sequential Supervised Learning**



Typical assumptions on data:

- Sequence information matters
- Overall task has many challenging components (e.g., segmentation → recognition → sequence assembly)

See M. Gori, «What's Wrong with Computer Vision?», ANNPR'18

## Approaches to classifying sequential data



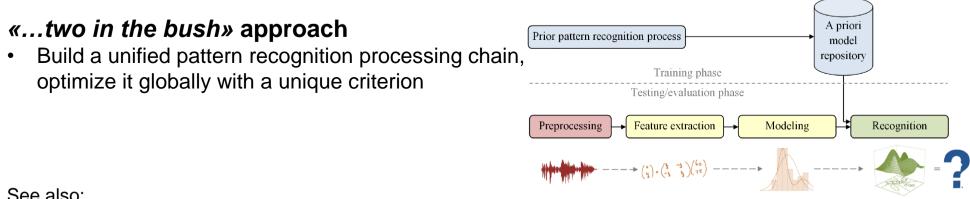
Zurich University of Applied Sciences

#### «A bird in the hand...» approach

Train standard classifier, extend it using a sliding window and post-processing (e.g., smoothing)

#### **Direct modeling approach**

Train a generative (statistical) model of the sequence generation process (e.g., HMM)



See also:

- T.G. Dietterich, «Machine Learning for Sequential Data A Review», 2002
- J. Choi, «Deep Learning for Sequential Data», 2018 (online: http://sail.unist.ac.kr/talks/Deep\_Learning\_Winter\_School\_Time\_Series.pdf)

# Proposed Solution: Global Learning

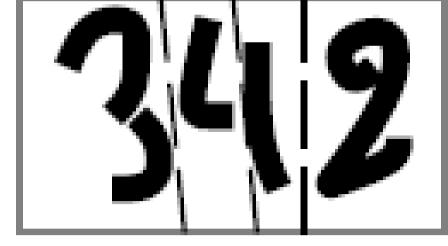
#### Challenge

• Identify correct character string («342») on a piece of paper

**Example: Reading handwritten strings** 

• Therefore: Find correct segmentation & recognize individual characters

Images sources for this section:  $\rightarrow$  see references slide in appendix





Zurich University of Applied Sciences

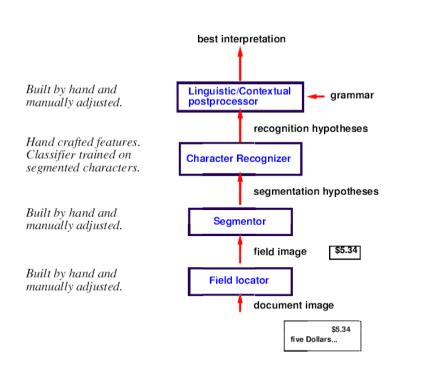
### **Global Learning** Learning end-to-end

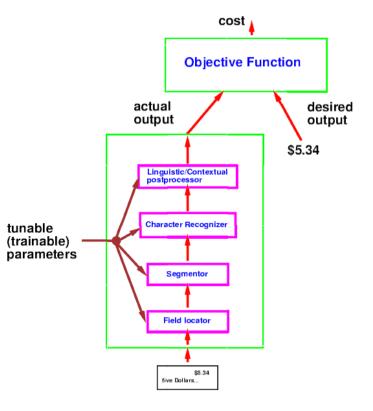


Zurich University

What we know: Traditional pattern recognition system architecture

What we want: Train all parameters to optimize a global performance criterion





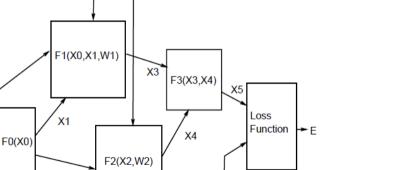
## **Foundation: Gradient-based learning**

A trainable system composed of heterogeneous modules:

W1

X2

W2



n

Desired Output

#### Backpropagation can be used if...

i.e., gradient descent

- cost (loss) function is differentiable w.r.t. parameters
- modules are differentiable w.r.t. parameters
- → Gradient-based learning is the unifying concept behind many machine learning methods (→ see V02)
- Object-oriented design approach: Each module is a class with a fprop() and bprop() method

### → Graph transformer network (GTN)

- General architecture to train individual components collectively via backpropagation
- ...using graph structures as input and output

Input

Ζ

of Applied Sciences

Zurich University

## **Graph Transformer Networks**

Network of pattern recognition modules that successively refine graph representations of the input

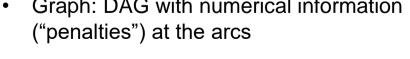
### **GTNs**

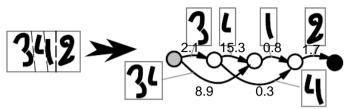
Zurich University of Applied Sciences and Arts InIT Institute of Applied Information Technology (stdm)

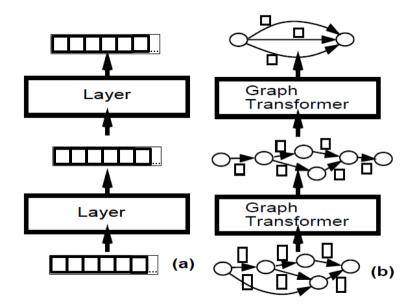
Operate on graphs of the input (b) • instead of fixed-size feature vectors (a)

Graph: DAG with numerical information ٠

→ GTN takes gradients w.r.t. both module parameters and numerical data at input arcs



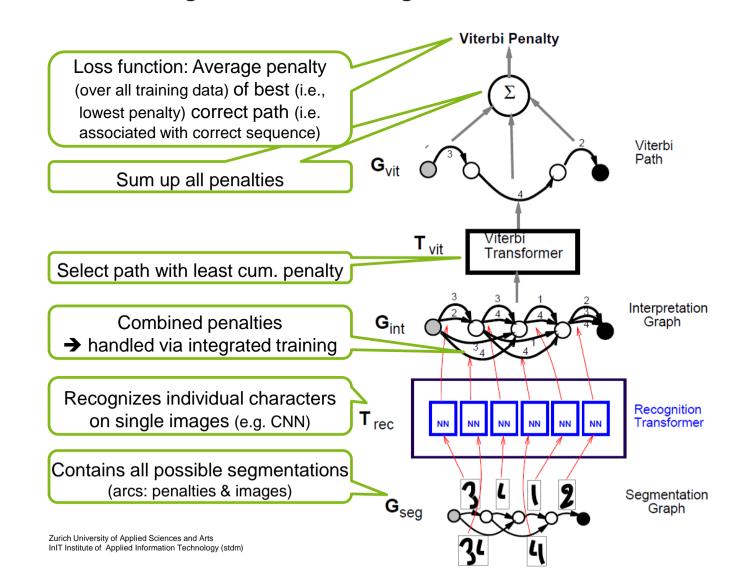






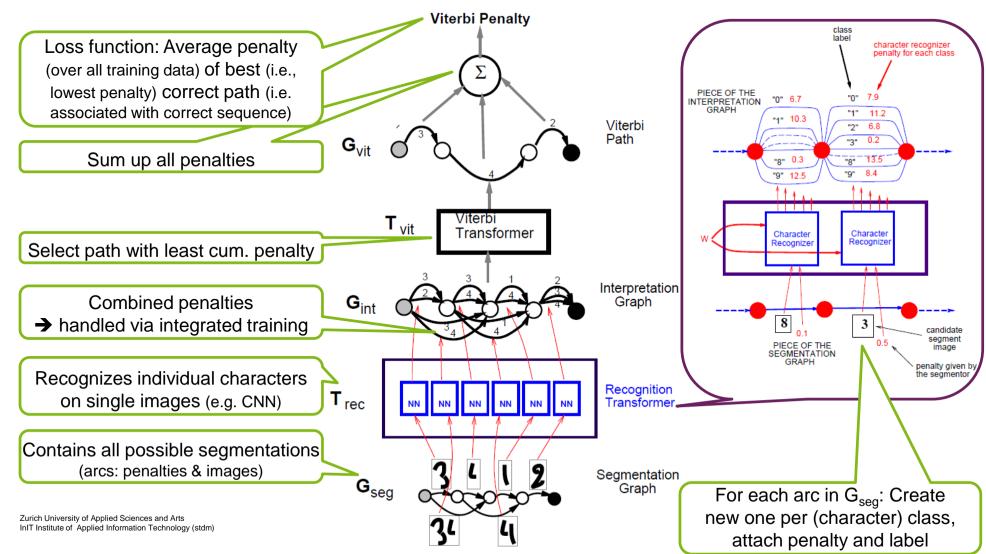
# Example: Heuristic over-segmentation ...for reading handwritten strings





### **Example: Heuristic over-segmentation** ...for reading handwritten strings

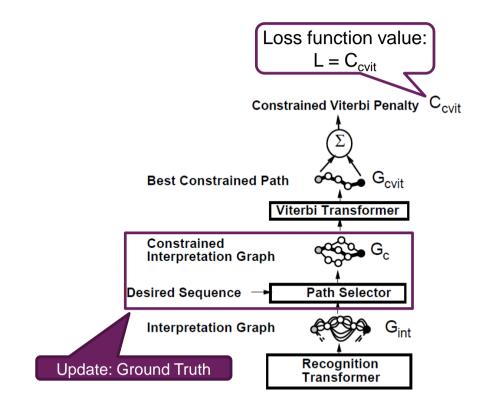




### How to train? Discriminative training wins

zh aw

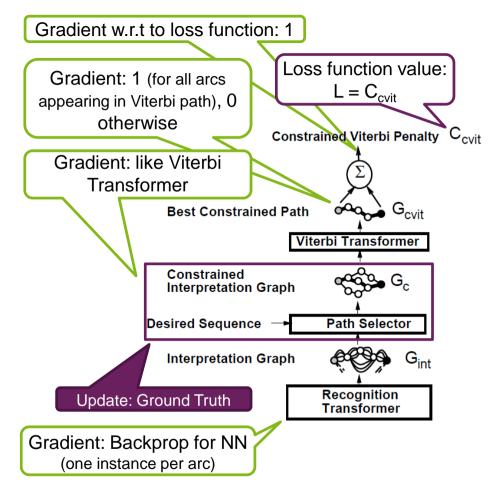
«Viterbi» training



## How to train? Discriminative training wins



#### «Viterbi» training



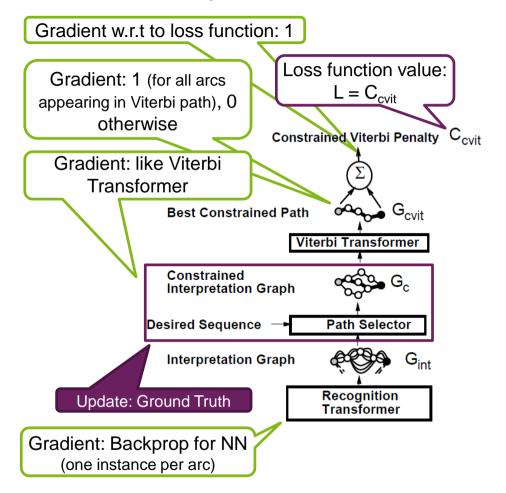
## How to train? Discriminative training wins

#### Problems:

Trivial solution possible (Recognizer ignores input & sets all outputs to small values)

. Penalty does not take competing answers into account (i.e., ignores training signals)

#### «Viterbi» training





aw

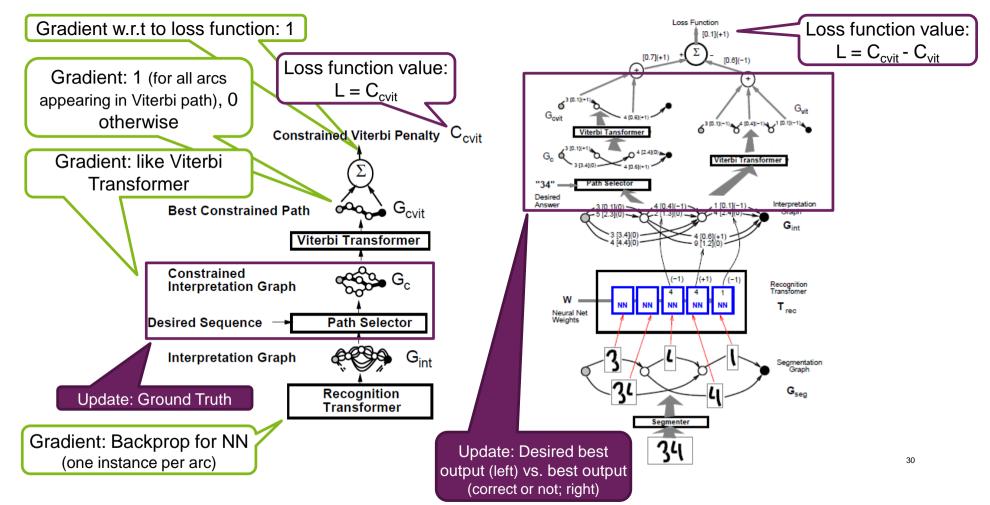
## How to train? Discriminative training wins

#### Problems:

- Trivial solution possible (Recognizer ignores input & sets all outputs to small values)
- . Penalty does not take competing answers into account (i.e., ignores training signals)

### «Viterbi» training

### **Discriminative training**



rather than modeling individual classes independently of each other' of Applied Sciences L=0 if best path is a correct path. How to train? Discriminative training wins Problems: aw Trivial solution possible (Recognizer ignores input & sets all outputs to small values) Penalty does not take competing answers into account (i.e., ignores training signals) **Discriminative training** «Viterbi» training Loss Eurotion Gradient w.r.t to loss function: 1 loss function value: [0, 1](+1) $L = C_{cvit} - C_{vit}$ [0.7](+1)10.61(-1) Loss function value: Gradient: 1 (for all arcs  $L = C_{cvit}$ appearing in Viterbi path), 0 6<sup>3 [].\*</sup> Guit otherwise Govi 6<sup>3 (0,1</sup>)(-1) 84 (0,4)(-1) 8 Constrained Viterbi Penalty C<sub>cvit</sub> Viterhi Tansforme 4 (2.4)(0) Gradient: like Viterbi G, Ó /iterbi Transformer Transformer "34" Path Selecto Desired éan G<sub>cvit</sub> Interpretation Answer Best Constrained Path 1 [0 1](-1)Gint Viterbi Transformer Constrained - G<sub>c</sub> Interpretation Graph Recognition Transfomer T <sub>rec</sub> Neural Net Desired Sequence Path Selector Weights G<sub>int</sub> Interpretation Graph Segmentation Graph 2  $\mathbf{G}_{\text{seg}}$ Recognition Update: Ground Truth Transformer Segmenter Gradient: Backprop for NN 34 Update: Desired best (one instance per arc) 31 output (left) vs. best output (correct or not; right)

Solved: Discriminative training builds the class-"separating surfaces

Zurich University

rather than modeling individual classes independently of each other' of Applied Sciences L=0 if best path is a correct path. How to train? Discriminative training wins Problems: aw Trivial solution possible (Recognizer ignores input & sets all outputs to small values) Penalty does not take competing answers into account (i.e., ignores training signals) «Viterbi» training **Discriminative training** Loss Eurotion Gradient w.r.t to loss function: 1 loss function value: [0, 1](+1) $L = C_{cvit} - C_{vit}$ [0.7](+1)10.61(-1) Loss function value: Gradient: 1 (for all arcs  $L = C_{cvit}$ appearing in Viterbi path), 0 Guit G<sub>cvi</sub> otherwise A<sup>3 [0,1](-1)</sup> X<sup>4 [0,4](-1)</sup> X Constrained Viterbi Penalty C<sub>cvit</sub> Viterhi Tansfor 4 (2.4)(0) Gradient: like Viterbi G, literbi Transformer Transformer "34" Path Select Desired ó Can G<sub>cvit</sub> Interpretation Answei Best Constrained Path G<sub>in</sub>, Viterbi Transformer Constrained G, Interpretation Graph Recognition Transfomer T <sub>rec</sub> Neural Net Desired Sequence Path Selector Weights G<sub>int</sub> Interpretation Graph Segmentation Graph Gseg Recognition Update: Ground Truth Transformer Segmenter Gradient: Backprop for NN 34 **Problem:** Produces no margin as Update: Desired best (one instance per arc) long as classification is correct (see output (left) vs. best output paper for solution) (correct or not; right)

Solved: Discriminative training builds the class-"separating surfaces

Zurich University

### Remarks

#### **Discriminative training**

- Uses all available training signals
- Utilizes "penalties", not probabilities
  - → No need for normalization
  - $\rightarrow$  Enforcing normalization is "complex, inefficient, time consuming, illconditions the loss function" [according to paper]
- Is the *easiest/direct* way to achieve the objective of classification (as opposed to **Generative training**, that solves the more complex density estimation task as an intermediary result)

#### List of possible GT modules

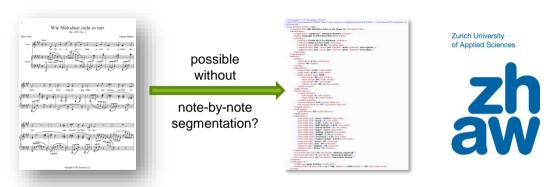
- All building blocks of (C)NNs (layers, nonlinearities etc.)
- **Multiplexer** (though not differentiable w.r.t. to switching input)
  - $\rightarrow$  can be used to dynamically rewire GTN architecture per input
- min-function (though not differentiable everywhere)
- Loss function





Zurich University of Applied Sciences

## **Conclusions?**



#### Less need for manual labeling

• Ground truth only needed for final result (not for every intermediate result like e.g. segmentation)

#### Early errors can be adjusted later due to...

- ... unified training of all pattern recognition modules under one regime
- ...postponing hard decisions until the very end

#### No call upon probability theory for modeling / justification

- Occam's razor: Choose easier discriminative model over generative one Vapnik: Don't solve a more complex problem than necessary
- No need for normalization when dealing with "penalties" instead of probabilities → no "other class" examples needed
- Less constrains on system architecture and module selection



## **Conclusions?**

#### Less need for manual labeling

Ground truth only needed for final result (not for every intermediate result like e.g. segmentation)

### Early errors can be adjusted later due to...

Served learning better ... unified training of all pattern recognition modules under one regime

Wie Melodien zieht es mit

weather with the

18-2-31-3-3 - 3-4 1- 17- 6 14 - 3 - 3

641 - 1- 14 14 0 - 11 - 1 -24 1 THE ALW A DISTONNICE PLET and There offer The The There a

possible

without

note-by-note

segmentation?

Zurich University

- in both projects\*

THE CAVALLER DAILY

possible without crop marks?

stronger (application) based) inductive biases

of Applied Sciences

...postponing hard decisions until the very end

### No call upon probability theory for modeling / justification

- Occam's razor: Choose easier discriminative model over generative one Vapnik: Don't solve a more complex problem than necessary
- No need for normalization when dealing with "penalties" instead of probabilities  $\rightarrow$  no "other class" examples needed
- Less constrains on system architecture and module selection

#### \*) Meier, Stadelmann, Stampfli, Arnold & Cieliebak (2017). «Fully Convolutional Neural Networks for Newspaper Article Segmentation». ICDAR'2017. Tuggener, Elezi, Schmidhuber & Stadelmann (2018). «Deep Watershed Detector for Music Object Recognition». ISMIR'2018. Stadelmann et al. (2018). «Deep Learning in the Wild». ANNPR'2018. **Gregory To Speak** At Coalition Rally

### **Review**

- ML systems are pipelines composed of individual components that can be developed collaboratively in a team
- Do ceiling analysis to decide which component in the pipeline is most likely to alter the result for good
- Do qualitative analysis of wrongly predicted examples to get insight what is going wrong
- Do numerical error analysis (i.e., compare CV scores) to prioritize algorithmic ideas
- Have a single performance metric (e.g., error or F-measure) to monitor the evolution of your system continuously
- Consider end-to-end training (global optimization via deep learning)







### Exercise: Expanding a ML system's scope Reading & discussion task



GTNs are an historical example of end-to-end training; nowadays, deep learning is frequently used in this respect. Researchers strive to more and more general functions learnable, thus extending the scope of respective systems from narrow tasks (e.g., a specific image classification task) to more general ones (e.g., learning multiple visual recognition and text generation tasks at once).

Read the article *«Reinforcement Learning, Fast and Slow»* by Botvinick et al. (Trends in Cog. Sci., Vol. 23, No. 5, 2019\*) and get an overview for yourself.

Then discuss at your table:

- What is reinforcement learning (RL)? What differentiates it from (un-) supervised learning?
- What is fast and slow learning in ML? In biological learning?
- How can current trends in RL help ML systems enlarge the scope of their applicability (learn more generally)?
- Is there a connection to fast and slow *thinking* (Kahneman, *«Thinking, fast and slow»*, 2011\*\*)?

\*) Online: <u>https://www.cell.com/trends/cognitive-sciences/fulltext/S1364-6613(19)30061-0</u> \*\*) Online: <u>https://en.wikipedia.org/wiki/Thinking, Fast and Slow</u>





#### APPENDIX

Zurich University of Applied Sciences and Arts InIT Institute of Applied Information Technology (stdm)

## Karpathy's recipe for neural network training

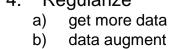
See http://karpathy.github.io/2019/04/25/recipe/

- Become one with the data 1
- 2. Set up the end-to-end training/evaluation skeleton + get dumb baselines
  - fix random seed a)
  - b) simplify (no augmentation, no fanciness, ...)
  - evaluate on full test set to add significance c)
  - d) verifv loss @ init
  - e) initialize well
  - f) human baseline
  - g) input-independent baseline
  - h) overfit one batch
  - i) verify decreasing training loss
  - j) visualize just before the net
  - visualize prediction dynamics on fixed test batch k)
  - use backprop to chart dependencies I)
  - generalize a special case m)
- 3. Overfit
  - picking the model (don't be a hero) a)
  - adam is safe b)
  - c) complexify only one at a time
  - d) do not trust learning rate decay defaults

- Regularize 4
  - b)
  - creative augmentation c)
  - pretrain d)
  - stick with supervised learning e)
  - smaller input dimensionality f)
  - smaller model size g)
  - decrease the batch size h)
  - use dropout (2D for CNNs; careful with batchnorm) i)
  - increase weight decay i)
  - k) early stopping to catch best model before overfitting
  - try a larger (early stopped) model I)
- 5. Tune
  - random over grid search a)
  - b) hyper-parameter optimization
- 6. Squeeze out the juice
  - Ensembles (tops out after ~5 models) a)
  - b) leave it training

For RL-specific advice and a general research methodology, see:

- http://amid.fish/reproducing-deep-rl
- https://stdm.github.io/Great-methodology-delivers-great-theses/







Zurich University of Applied Sciences

### Further reading for end-to-end learning

- Original short paper: Bottou, Bengio & LeCun, "Global Training of Document Processing Systems using Graph Transformer Networks", 1997 <u>http://www.iro.umontreal.ca/~lisa/pointeurs/bottou-lecun-bengio-97.pdf</u>
- Landmark long paper: LeCun, Bottou, Bengio & Haffner, "Gradient-Based Learning Applied to Document Recognition", 1998 <u>http://yann.lecun.com/exdb/publis/pdf/lecun-01a.pdf</u>
- Slide set by the original authors: Bottou, "Graph Transformer Networks", 2001 <u>http://leon.bottou.org/talks/gtn</u>
- Overview: Dietterich, "Machine Learning for Sequential Data: A Review", 2002
   <a href="http://eecs.oregonstate.edu/~tgd/publications/mlsd-ssspr.pdf">http://eecs.oregonstate.edu/~tgd/publications/mlsd-ssspr.pdf</a>
- Recent work: Collobert, "Deep Learning for Efficient Discriminative Parsing", 2011
   <a href="http://ronan.collobert.com/pub/matos/2011\_parsing\_aistats.pdf">http://ronan.collobert.com/pub/matos/2011\_parsing\_aistats.pdf</a>





